



PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
KENNY, John J. et al.) Examiner: Unknown
Serial No.: 10/699,614) Art Unit: 2633
Filed: October 30, 2003) Confirmation No.: 9929
For: Method and System for Supporting)
Multiple Service Providers Within a)
Single Optical Network)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

March 11, 2004

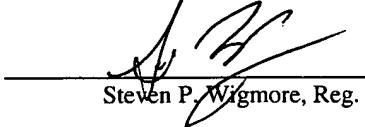
Sir:

The citation of information on the attached Form PTO-1449, "List of Information Disclosed by Applicant" is made pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98.

The attached Form PTO-1449 list references that were cited in the parent application of U.S. Application No. 09/899,410. The present application claims priority to the parent application under 35 U.S.C. 120 and therefore, copies of the references are not enclosed in accordance with 37 C.F.R. §1.98(d).

In addition, no fees are believed to be due for entry of this Information Disclosure Statement as it is being submitted before the mailing of a first Office Action on the merits of the subject application.

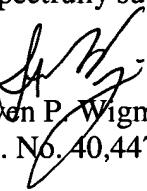
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on March 11, 2004.


Steven P. Wigmore, Reg. No. 40,447

If necessary, the Commissioner is authorized to charge Deposit Account No. 11-0980 for any additional fees that may be due in connection with this submission.

The citation of this information does not constitute an admission of priority or that any cited item is available as a reference, or a waiver of any right the applicant may have under applicable statutes, Rules of Practice in patent cases, or otherwise.

Respectfully submitted,


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K&S Docket No. 08286.105016

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO. 08286.105016	SERIAL NO. 10/699,624	FILING DATE October 30, 2003
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APPLICANT John J. Kenny et al.	GROUP 2633
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	5,510,921	04/23/96	Takai et al.			
AB	5,701,186	12/23/97	Huber			
AC	6,546,014	04/08/03	Kramer et al.			
AD	2003/00903020 A1	05/15/03	Skrobko et al.			
AE	2001/0002486 A1	05/31/01	Kocher et al.			
AF	5,469,507	11/21/95	Canetti et al.			
AG	2002/0164026 A1	11/07/02	Huima			
AH	5,179,591	01/12/93	Hardy et al.			
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AJ	5,875,430	02/23/99	Koether			
AK	4,763,317	08/09/88	Lehman et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
AL					
AM					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

AN	Partial International Search Report dated 01/03/03 for PCT/US01/31032				
AO	International Search Report dated 04/22/03 for PCT/US01/50361				
AP	L. Zhang et al., "Label-switching architecture for IP traffic over WDM networks", IEE Proc.-Commun., Vol. 147, No. 5, October 2000, pgs. 269-275.				

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BA	J. Masip-Torné, et al., "Providing Differentiated Service Categories in Optical Packet Networks", Proceedings of the International Teletraffic Congress. ITC-16. Teletraffic Engineering in a Competitive World. Edinburgh, UK, June 7 - 11, 1999, Teletraffic Science and Engineering, Amsterdam: Elsevier, NL, Vol. 3B, June 7, 1999, pgs. 1115-1126	
BB	J. Bannister et al., "How Many Wavelengths Do We Really Need? A Study of the Performance Limits of Packet Over WaveLengths", Optical Networks Magazin, SPIE, Bellingham, WA, Vol. 1, No. 2, April 2000, pgs. 17-28.	
BC	O. W.W. Yang, et al. , "All-Optical WDM/TDM Star-Bus Network Using Optical Amplifiers", Journal of Optical Communications, Fachverlage Schiele & Schon, Berlin, DE, Vol. 16, No. 6, December 1, 1995, pgs. 216-226.	
BD	Walter Cicerora et al., "Modern Cable Television Technology: Video, Voice, and Data Communications", © 1999 by Morgan Kaufman Publishers, Inc., pgs. 167-176	
BE	International Search Report dated 04/21/03 for PCT/US02/28734	
BF	Written Opinion dated May 6, 2003 for PCT/US01/21298	
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						YES NO
	AL					
	AM					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

AN		Global Access™, Universal Services Gateway, USG100, ARRIS, pgs. 1-2, October 18, 2002.
AO		Global Access™, Universal Access Switch, UAS4024, ARRIS, pgs. 1-2, August 28, 2002.
AP		

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	2002/0021465	02/21/02	Moore, Jr. et al.			
AB						
AC						
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	BW	5,249,194	09/28/93	Sakanushi			
	BX	5,412,498	05/2/95	Arstein et al.			
	BY	6,151,343	11/21/00	Jurgensen			
	BZ	5,802,089	09/01/98	Link			
	CA	6,097,159	08/01/00	Mogi et al.			
	CB	5,778,017	07/07/98	Sato et al.			
	CD	5,706,303	01/06/98	Lawrence			
	CE						
	CF						
	CG						
	CH						

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	CI					
	CK					
	CL					
	CM					
	CN					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

CO	International Search Report dated December 4, 2002 for PCT/US02/27398
CP	

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	DA	5,345,504	09/06/94	West, Jr.			
	DB	5,528,582	06/18/96	Bodeep et al.			
	DC	5,892,865	04/06/99	Williams			
	DD	5,861,966	01/19/99	Ortel			
	DE						
	DF						
	DG						
	DH						
	DI						
	DJ						

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	DK					
	DL					
	DM					
	DN					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

DO		International Search Report dated December 17, 2002 for PCT/US02/15861
DP		
DQ		

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AA	20010002195	05/31/01	Fellman et al.			
AB	20010002196	05/31/01	Fellman et al.			
AC	20010030785	10/18/01	Pangrac et al.			
AD	20020039218	04/04/02	Farmer et al.			
AE	20020089725	07/11/02	Farmer et al.			
AF	4,655,517	05/11/87	Widmer			
AG	4,975,899	12/04/90	Faulkner			
AH	5,572,348	11/05/96	Carlson et al.			
AI	5,880,864	03/09/99	Williams et al.			
AJ	RE37,125	04/03/01	Carlson et al.			
AK	6,356,369	03/12/02	Farhan			

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AL	EP 0720322 A2	07/03/96	Europe	ALCATEL N.V.	
AM	WO 01/27940 A2	04/19/01	PCT	OPTICAL SOLUTIONS, INC.	
AN	WO 02/030019 A3	04/11/02	PCT	WAVE7 OPTICS, INC.	
AO	WO 02/30020 A2	04/11/02	PCT	WAVE7 OPTICS, INC.	
AP	WO 02/060123 A2	08/01/02	PCT	WAVE7 OPTICS, INC.	

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- AQ "Trading Update and Operational Review Presentation" Marconi, September 4, 2001, pgs 1-35.
 AR "Cable Market" 2 pgs, Marconi Corporation, PLC, 2000 at www.Marconi.com
 AS "Communications" 2 pgs, Marconi Corporation, PLC, 2000 at www.Marconi.com
 AT "Dalton Utilities" 9 pgs, Marconi Corporation, PLC, 2002 at www.Marconi.com

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AU	"Deep Fiber Solutions" 3 pgs, Marconi Corporation, PLC, 2000 at www.Marconi.com
AV	"Deep Fiber HFC Features and Benefits" 1 pg, Marconi Corporation, PLC, 2002 at www.Marconi.com
AW	"Deep Fiber HFC Network Diagram" 1 pg, Marconi Corporation, PLC, 2002 at www.Marconi.com
AX	"Deep Fiber HFC Product Overview: New FITL Configuration" 2 pgs, Marconi Corporation, PLC, 2002 at www.Marconi.com
AY	"Deep Fiber HFC Technical Specifications" 2 pgs, Marconi Corporation, PLC, 2002 at www.Marconi.com
AZ	"Deep Fiber HFC - New FITL Configuration" 1 pg, Marconi Corporation, PLC, 2002 at www.Marconi.com
BA	"En-Touch" at 5 pgs, Marconi Corporation, PLC, 2002 www.Marconi.com
BB	"Fiber to the Home" International Engineering Consortium (no date) pgs 1-10, available at www.iec.com.
BC	"Deep Fiber HFC" Marconi Corporation PLC 2000, pgs 1-2.
BD	"Integrated Voice, Video and Data Services Over a Single Fiber: A Compelling Opportunity for Cable Operators" Marconi Corporation PLC, May 2000, 6 pgs
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BF	"Deep Fiber HFC: A Next-Generation Integrated Access Solution Offering Significant Improvement Over Traditional HFC Architectures" Marconi Corporation PLC, May 2000, 8pgs.
BG	"A Gold Mine of Opportunities in the Face of Increasing Competition: Choices for Utilities Entering the Communications Marketplace" Marconi Corporation PLC, May 2000, 6pgs
BH	"Fiber Optics on New Development MDUs: A Research Summary," Marconi Corporation PLC, May 2000, 5pgs.
BI	"Thermal Management Challenges: Small ONU Enclosures," Marconi Corporation PLC, May 2000, 9pgs.
BJ	"Passive Optical Networks - Is There Light at the End of the Access Tunnel?" CIBC World Markets Inc., January 11, 2001, pgs 1-66.
BK	"36.2.4 8B/10B transmission code", IEEE 2000, pgs 966-969.
BL	G. Khoe et al., "Coherent Multicarrier Technology for Implementation in the Customer Access," IEEE, May/June 1993, pgs 695-713.
BM	L. Linnell, "A Wide-Band Local Access System Using Emerging-Technology Components," IEEE, July 1986, pgs. 612-618
BN	"Digital Broadband Delivery System: Out of Band Transport - Mode B," Society of Cable Telecommunications Engineers, Inc., August 10, 1998, 76 pgs.

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BO		"Digital Broadband Delivery System: Out of Band Transport - Mode A," Society of Cable Telecommunications Engineers, Inc., August 26, 1998, 49 pgs.
BP		B. Gaglanello & P. Thompson, "An Efficient MAC Layer Protocol for EPON," IEEE 802.3 EFM November 2001, 9 pgs.
BQ		"Policing and Shaping Overview" <i>Quality of Service Solutions Configuration Guide</i> , Cisco Systems, Inc. (No Date)
BR		"Cisco IOS™ Software Quality of Service Solutions," Cisco Systems, Inc. 1998, 28 pgs.
BS		International Search Report for PCT/US01/21298, 2 pgs , mailed June 17, 2002.
BT		International Search Report for PCT/US02/03056, 1 pg, mailed June 12, 2002.
BU		"Ethernet - Accelerating the Standard for Speed," 7 pgs, Gigabit Ethernet Alliance, November 2000 at www.gigabit-ethernet.org
BV		"eLuminant - Asynchronous Transfer Mode (ATM) Passive Optical Networks (PONS) Tutorial" 28 pgs, the International Engineering Consortium, 2000 at www.iec.org
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	AA	US 5,541,917	07/30/96	FARRIS, Robert D.			
	AB	US 5,969,836	10/19/99	FOLTZER, Lawrence E.			
	AC	US 5,572,347	11/05/96	BURTON, Larry W. et al.			
	AD	US 5,365,588	11/15/94	BIANCO, Mark E. et al.			
	AE	US 4,956,863	09/11/90	GOSS, Kenneth C.			
	AF	US 5,253,250	10/12/93	SCHLAFER, John et al.			
	AG	US 6,336,201	01/01/01	GEILE, Michael J. et al.			
	AH	US 5,793,413	08/11/98	HYLTON, Denny L. et al.			
	AI	US 5,790,523	08/04/98	RITCHIE, JR., John A. et al.			
	AJ	US 6,674,967	01/06/04	SKROBKO, John et al.			
	AK	US 6,483,635	11/19/02	WACH, Michael L.			

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		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO
	AQ	EP 0955739 A2	11/10/99	Europe	NEC Corporation	
	AR	EP 0713347 A2	05/22/96	Europe	AT&T Corporation	

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AB	6,460,182	10/01/02	BuAbbud			
AC	6,427,035	07/30/02	Mahony			
AD	5,349,457	09/20/94	Bears			
AE	6,295,148	09/25/01	Atlas			
AF	5,325,223	06/28/94	Bears			
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CA	BOURNE, John et al., "Heathrow - Experience and Evolution" IEEE, 1990, pages 1091-1095.		
CB	MIKI, Tetsuya et al., "A Design Concept on Fiber-Optic Wavelength-Division-Multiplexing Subscriber Loop System" WTG - Fachber, 1980, pages 41-45.		
CC	YAMAGUCHI, K. et al., "A Broadband Access Network Based on Optical Signal Processing: The Photonic Highway" IEEE, 1990, pages 1030-1037.		
CD	International Search Report of July 7, 2003 for PCT/US01/51350		
CE	ANGELOPOULOS, J.D. et al., "A Transport Mac Method for Bandwidth Sharing and CDV Control at the ATM Layer of Passive Optical Networks" Journal of Lightwave Technology, IEEE, 1996, pages 2625-2634.		
CF	International Search Report of July 2, 2003 for PCT/US03/07814		
CG	International Search Report of October 3, 2003 for PCT/US03/12231		
CH	CICIORA, Walter S. et al., "Modern Cable Television Technology: Video, Voice, and Data Communications", ©1999, pages 162-214, Chapter 4, Morgan Kaufmann Publishers, Inc., San Francisco, California.		

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CI	GLAESEMANN, G. Scott et al., "The Mechanical Reliability of Corning® Optical Fiber in Bending White Paper", September 2002, pages 1-4
CJ	Corning® SMF-28™ Optical Fiber Product Information, "Corning® Single-Mode Optical Fiber", April 2002, pages 1-4.
CK	Companie Deutsch, Components for Fiber Optics, "Triplexers - WDM: FSAN - TPM Series", pages 1-6.
CL	CEDaily Direct News, "Today's Report", March 19, 2001, pages 1-5.
CM	Lucent Technologies, "Lucent Technologies Introduces Single-Fiber Network Access Devices for Voice, Video, and Data Services to the Home or Curb", June 6, 2000, pages 1-2.
CN	Lucent Technologies, "Agere Systems Introduces Single-Fiber Network Access Devices for Voice, Video and Data Services to the Home or Curb", March 19, 2001, pages 1-3.
CL	Optical Networks Daily, a Publication of Optical Keyhole, August 26, 2003, pages 1-13.

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	AD	US 5,534,912	07/09/96	KOSTRESKI, Bruce W.			
	AE	US 5,247,347	09/21/93	LITTERAL, Larry A. et al.			
	AF	US 6,097,515	08/01/00	POMP, Steve et al.			
	AG	US RE 35,774	04/21/98	MOURA, Eduardo J. et al.			

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